



3623

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jennifer B. Owens et al.

Group Art Unit: 3623

Serial Number: 10/605,551

Examiner: Unassigned

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For: SYSTEM AND METHOD FOR OPERATIONAL
RISK ASSESSMENT AND CONTROL

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97**

It is respectfully requested that the document listed on the attached Form PTO/SB/08A be considered by the Patent and Trademark Office in the above-referenced application and made of record therein. A full text copy of the relevant document is enclosed. This information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last.

Respectfully submitted,

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January 14, 2004

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail on January 14, 2004, in an envelope addressed to Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450

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